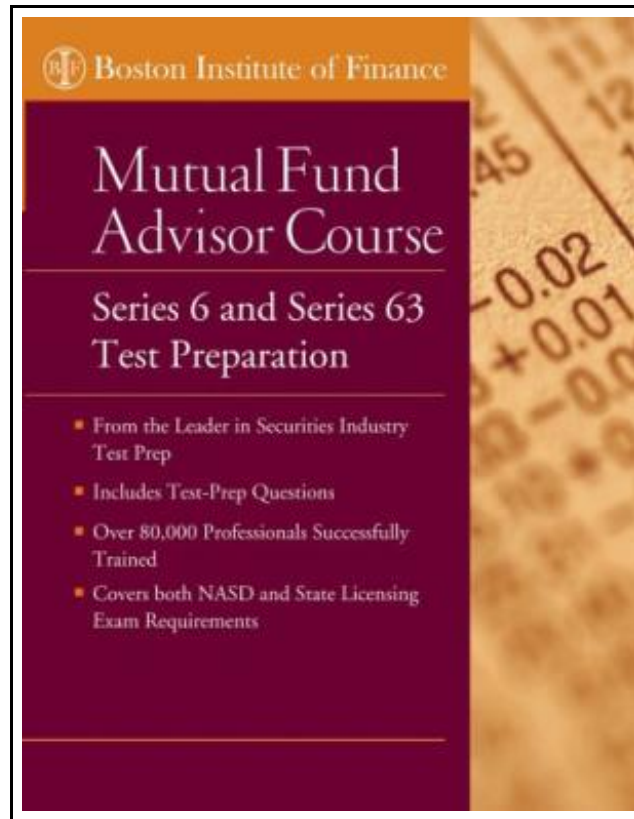


The Boston Institute of Finance Mutual Fund Advisor Course: Series 6 and Series 63 Test Prep



Filesize: 6.35 MB

Reviews

This written publication is wonderful. It can be written in straightforward phrases instead of confusing. I discovered this pdf from my dad and i suggested this publication to learn.
(Jesse Tremblay)

THE BOSTON INSTITUTE OF FINANCE MUTUAL FUND ADVISOR COURSE: SERIES 6 AND SERIES 63 TEST PREP



To save **The Boston Institute of Finance Mutual Fund Advisor Course: Series 6 and Series 63 Test Prep** PDF, make sure you click the hyperlink below and download the ebook or have accessibility to additional information that are highly relevant to THE BOSTON INSTITUTE OF FINANCE MUTUAL FUND ADVISOR COURSE: SERIES 6 AND SERIES 63 TEST PREP ebook.

Wiley, 2005. Book Condition: New. Brand New, Unread Copy in Perfect Condition. A+ Customer Service! Summary: Preface. Series 6 Test Preparation. Introduction. 1. Investment Securities. Introduction. Money and Capital Markets. Common Stock. Yield on Common Stock. Preferred Stock. Corporate Bonds. Other Types of Corporate Bonds. Convertible Bonds. Zero Coupon Bonds. Order of Payment-Corporate Liquidation. Interest rate Risk. Yields. Rights. Warrants. Collateralized Mortgage Obligations (CMO's). Interest Rates and Bond Concepts. U.S. Government Securities. Municipal Bonds. Money Market Instruments. Bankers' Acceptances. Commercial Paper. Negotiable Certificates of Deposit. Inter-Bank Market for Foreign Currencies. Eurodollar Bonds. Additional Concepts. 2. Securities Markets. Introduction. Types of Investment Risk. Economic Factors. Suitability and Risk Factors. 3. OpenEnd Investment Companies. Introduction. Types of Funds. Classes of Shares. Mutual Fund Accounts. Distributions by Funds. Taxation of Mutual Funds. Mutual Fund Expenses. Review Concepts. Comparison OpenEnd vs. ClosedEnd Funds. Important Points. Additional Concepts Investment Companies. 4. Regulation of Investment Companies Face Amount Certificate Companies. Sales Charges. Breakpoints. Rights of Accumulation. Advertising by Funds. Mutual Fund Sales Literature. Dollar Cost Averaging. NASD Rules Concerning Investment Companies. Mutual Fund Sales by Banks. Additional Concepts Investment Company Regulations. 5. Variable Annuities and Retirement Plans. Introduction. Fixed Annuities. Variable Annuities. Additional Concepts Variable Annuities. Variable Life Insurance. Main Points Variable Life Insurance Contracts. Employee Retirement Income Security Act of 1974 (ERISA). Keogh Plans. Individual Retirement Accounts. Simplified Employee Pension Plan (SEP). Pension and Profit Sharing Plans. 401(k) Plans. 403B Plans. 6. NASD Rules and Regulations. Certificate of Incorporation. ByLaws of the NASD. Advertising Rules. Broker/Dealers. Recommendations Made to Customers. Private Securities Transactions. Prompt Receipt and Delivery of Securities. Proxy Material. NASD Hot Issue Rules. Charges for Services. Confirmations. Discretionary Accounts. Dealing with NonMembers. Other Provisions of NASD Rules. NASD Code of Procedure. Uniform Practice Code. Confirmations or Comparisons. Good Delivery of Securities. Trade Date...



Read The Boston Institute of Finance Mutual Fund Advisor Course: Series 6 and Series 63 Test Prep Online



Download PDF The Boston Institute of Finance Mutual Fund Advisor Course: Series 6 and Series 63 Test Prep

Relevant Kindle Books



[PDF] Edge] the collection stacks of children's literature: Chunhyang Qiuyun 1.2 --- Children's Literature 2004(Chinese Edition)

Click the hyperlink under to download and read "Edge] the collection stacks of children's literature: Chunhyang Qiuyun 1.2 --- Children's Literature 2004(Chinese Edition)" PDF file.

[Read ePub »](#)



[PDF] The Perfect Name : A Step

Click the hyperlink under to download and read "The Perfect Name : A Step" PDF file.

[Read ePub »](#)



[PDF] Becoming Barenaked: Leaving a Six Figure Career, Selling All of Our Crap, Pulling the Kids Out of School, and Buying an RV We Hit the Road in Search Our Own American Dream. Redefining What It Meant to Be a Family in America.

Click the hyperlink under to download and read "Becoming Barenaked: Leaving a Six Figure Career, Selling All of Our Crap, Pulling the Kids Out of School, and Buying an RV We Hit the Road in Search Our Own American Dream. Redefining What It Meant to Be a Family in America." PDF file.

[Read ePub »](#)



[PDF] Billy and Monsters New Neighbor Has a Secret The Fartastic Adventures of Billy and Monster Volume 4

Click the hyperlink under to download and read "Billy and Monsters New Neighbor Has a Secret The Fartastic Adventures of Billy and Monster Volume 4" PDF file.

[Read ePub »](#)



[PDF] The Right Kind of Pride: A Chronicle of Character, Caregiving and Community

Click the hyperlink under to download and read "The Right Kind of Pride: A Chronicle of Character, Caregiving and Community" PDF file.

[Read ePub »](#)



[PDF] Little Girl Lost: The True Story of a Broken Child

Click the hyperlink under to download and read "Little Girl Lost: The True Story of a Broken Child" PDF file.

[Read ePub »](#)